



Docket No. CF/027

2613/12/03  
PH  
#2 1/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 09/995,698 Confirmation No.: 2615  
Filed : November 29, 2001  
For : SYSTEMS AND METHODS FOR LINKING  
BIDS AND OFFERS IN A TRADING INTERFACE  
Group Art Unit : 2161  
Examiner : Not yet known

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FEB 13 2002

New York, New York  
February 4, 2002 Technology Center 2600

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This Statement is  
submitted:

- [X] within three months of the application filing date;  
[ ] more than three months from the application filing  
date but before the mailing date of the first  
Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Jared Kneitel  
Registration No. P-51,178  
Agent for Applicant  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I Heroby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PROCESSES P.O. Box 2327  
Andover, VT 05202 on

February 4, 2002  
Cristina V. Santilli

Signature of Person Signing



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following documents:

U.S. Patents

4,674,044	Kalmus et al.	6/16/87
4,903,201	Wagner	2/20/90
5,077,665	Silverman et al.	12/31/91
5,136,501	Silverman et al.	8/4/92
5,243,515	Lee	9/7/93
5,715,402	Popolo	2/3/98
5,727,165	Ordish et al.	3/10/98
5,905,975	Ausubel	5/18/99
5,924,083	Silverman et al.	7/13/99
6,131,087	Luke et al.	10/10/00
6,272,474	Garcia	8/7/01

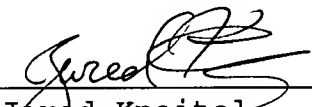
Foreign Patents

EP 0 987 644	EPO	3/22/00
WO 00/21013	PCT	4/13/00
WO 00/28450	PCT	5/18/00
WO 00/58862	PCT	10/5/00

These document are listed on the accompanying Form  
PTO-1449 (submitted in duplicate) and copies are enclosed  
herewith.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
Jared Kneitel  
Registration No. P-51,178  
Agent for Applicant  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

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PATENTS  
WASHINGTON, DC 20590-0001  
February 4, 2002  
Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEDOCKET NO.  
CF/027APPLICATION NO.  
09/995,698INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Andrew C. GilbertCONFIRMATION NO.  
2615FILING DATE  
November 29, 2001GROUP  
2161

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,674,044	6/16/87	Kalmus et al.	705	37	
	4,903,201	2/20/90	Wagner	705	37	
	5,077,665	12/31/91	Silverman et al.	705	37	
	5,136,501	8/4/92	Silverman et al.	705	37	
	5,243,515	9/7/93	Lee	705	37	
	5,715,402	2/3/98	Popolo	705	37	
	5,727,165	3/10/98	Ordish et al.	705	37	
	5,905,975	5/18/99	Ausubel	705	37	
	5,924,083	7/13/99	Silverman et al.	705	37	
	6,131,087	10/10/00	Luke et al.	705	26	
	6,272,474	8/7/01	Garcia	705	37	

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FEB 13 2002  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 987 644	3/22/00	EPO	G06F	17/60		
	WO 00/21013	4/13/00	PCT	G06F	17/60		
	WO 00/28450	5/18/00	PCT	G06F	17/60		
	WO 00/58862	10/5/00	PCT	G06F	17/60		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.